



Please type a plus sign (+) inside this box ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/688,933
Filing Date	October 17, 2000
First Named Inventor	Koichi TAKIGUCHI et al.
Group Art Unit	2633
Examiner Name	Phan HANH
Attorney Docket Number	32307-167197

RECEIVED

JAN 09 2004

Technology Center 2600

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
HP	A1	EP	0 657 754	A1		6-14-1995		
HP	A2	EP	0 884 867	A2		12-16-1998		
HP	A3	WO	99/45420	A1		9-10-1999		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
HP	A4	KOICHI, TAKIGUCHI et al., "Dispersion Compensation Using a Planar Lightwave Circuit Optical Equalizer", IEEE Photonics Technology Letters, vol. 6, no. 4, April 1994, pgs. 561-564.	
HP	A5	KOICHI, TAKIGUCHI et al., "Planar Lightwave Circuit Optical Dispersion Equalizer", Proceedings of the European Conference on Optical Communication (ECOC) Montreux, vol. 3, September 12, 1993, pgs. 33-36.	
HP	A6	KOICHI, TAKIGUCHI et al., "Variable group-delay dispersion equaliser based on a lattice-form programmable optical filter", Electronics Letters, vol. 31, no. 15, July 20, 1995, pgs. 1240-1241.	
HP	A7	KOICHI, TAKIGUCHI et al., "Dispersion Slope Equalizer for Dispersion Shifted Fiber Using a Lattice-Form Programmable Optical Filter on a Planar Lightwave Circuit", Journal of Lightwave Technology, vol. 16, no. 9, September 1998, pgs. 1647-1656.	
HP	A8	MACDONALD, R. I., "Optoelectronic Equalisation", IEEE Photonics Technology Letters, vol. 6, no. 4, April 1994, pgs. 565-567.	

Examiner Signature	<i>Phan HANH</i>	Date Considered	05/11/04
-----------------------	------------------	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

VENABLE
ATTORNEYS AT LAW

\\ODMA\PCDOCS\DC2DOCS\1\511571\1\MAS\SVT

